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ASSET MANAGEMENT

SignalPoint Asset Management, LLC

- Founded In 2008
- Approximately $500 million in AUM
- Offices in Springfield, MO / Madison, WI / Charlotte, NC

www.signalpointinvest.com

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SignalPoint Asset Management

ETF Portfolio Strategies

- **GIPS Verification** – *multiple ETF strategy portfolios with 10+ years GIPS-verified track records.*
- **Holdings** – *strategies typically consist of 12-14 ETF holdings.*
- **Process** – *A disciplined repeatable process based on responding to market movements rather than trying to predict them.*

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SignalPoint Investment Process

A Different Approach

- Remain diversified across all sectors at all times instead of predicting which sector will outperform.
- Trim incrementally from highly-appreciated, higher-risk sectors to systematically raise cash on the way up.
- Add to lower-priced, lower-risk sectors from cash reserves when values present themselves.

SignalPoint Investment Process

A Different Approach

- Utilize a unique strategy that does not fit within traditional categories of institutional management.

- Retail clients appreciate simple, clear framework of our process. (e.g., buy low and sell high)

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SignalPoint Investment Process

Key Terms

- **Risk Value** – *the total value of the position at current market price.*

- **Control Level** – *initially the starting cost of the holding plus a portion of subsequent additional purchases.*

- **Trade Resistance** – *used to establish a hold zone beyond which adjustments are made to Risk Value. Trade Resistance is approximately +/- 10% of the Risk Value but may be larger or narrower in certain sectors.*

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SignalPoint Investment Process

Key Terms

- **If Risk Value is greater than Control Level by more than Trade Resistance …** *models signals a sell to reduce risk to approximately the Control Level.*

- **If Risk Value is less than Control Level by more than Trade Resistance …** *model signals a buy to restore risk to approximately the Control Level.*

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SignalPoint Investment Process

Market Risk Indicator (MRI)

- Combines four statistical measures to assess overall market risk.
- Determines recommended level for ongoing cash reserve requirements.
- Acts as a filter for buy/sell decisions.

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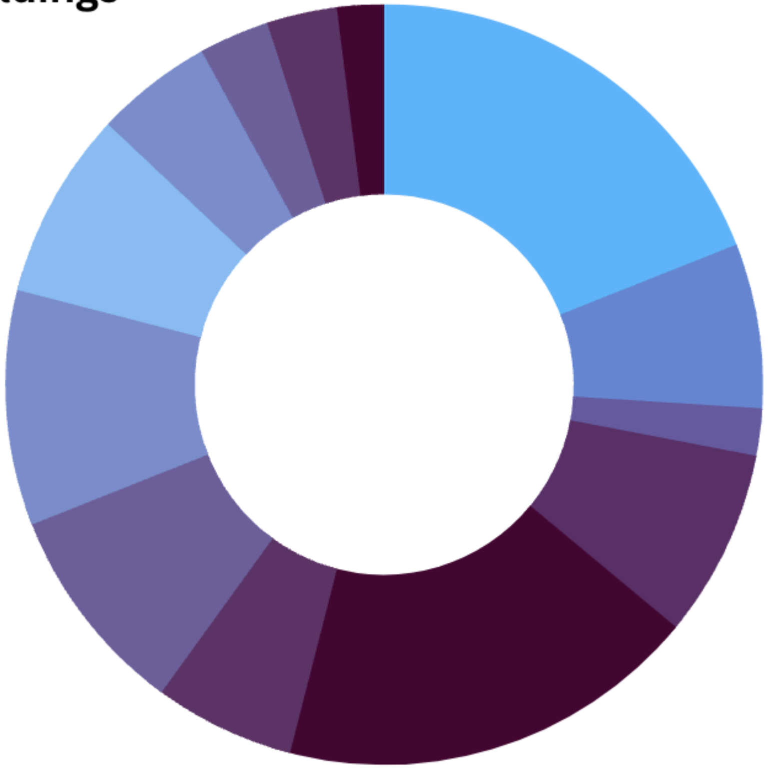
Representative Portfolio Holdings



Components of Signal 10
Communication
Consumer Discretionary
Consumer Staples
Energy
Financials
Healthcare
Industrials
Materials
Real Estate
Technology
Utilities
S&P 500 Top 50
Cash & Equivalents

This chart is for illustrative purposes only. These model weights/returns do not represent actual investment decisions by SignalPoint and may not reflect the impact that material economic and market factors might have had on our decision-making if actually managing the portfolio. The performance of the illustrated Energy Sector ETF has not been audited by any third party. Moreover, the actual performance of client portfolios or positions, may differ materially due to the timing related to the actual deployment and investment of a client portfolio, the reinvestment of dividends, length of time various positions are held, client objectives and restrictions, and fees and expenses incurred by the individual portfolio.

T = 1

Energy

Sell $22.00

$20.00 ★

Buy $18.00

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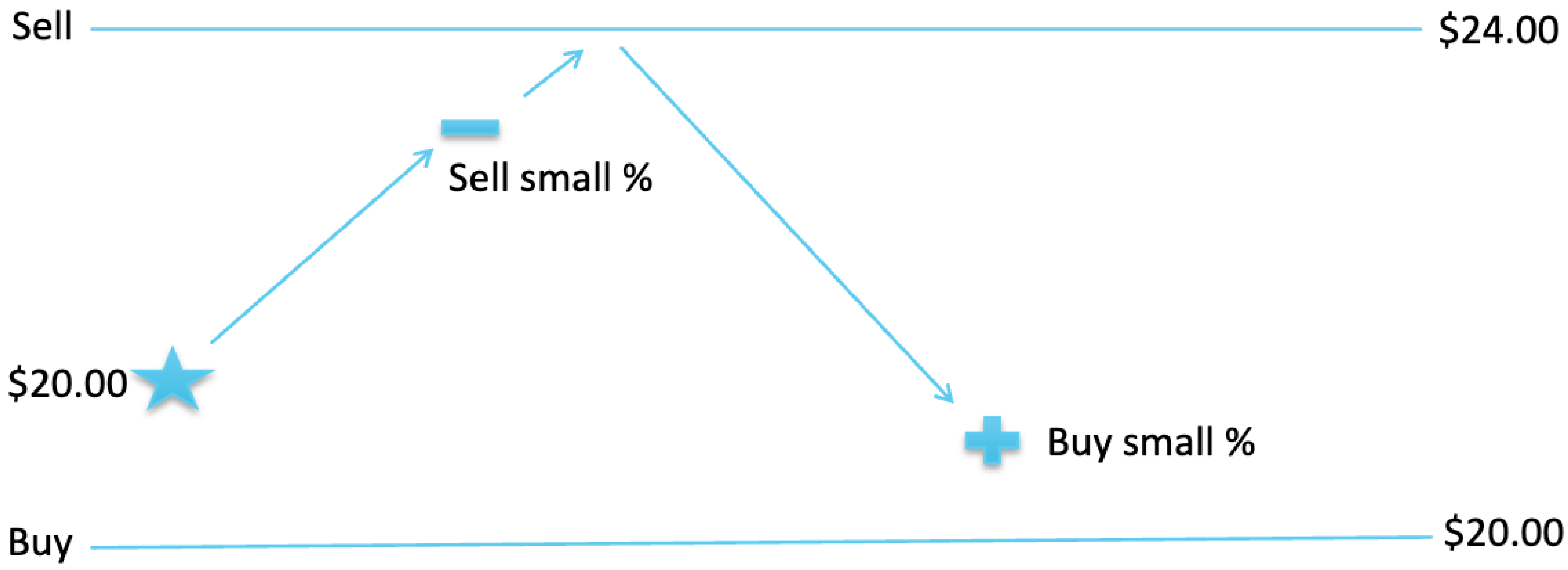
T = 2

Energy



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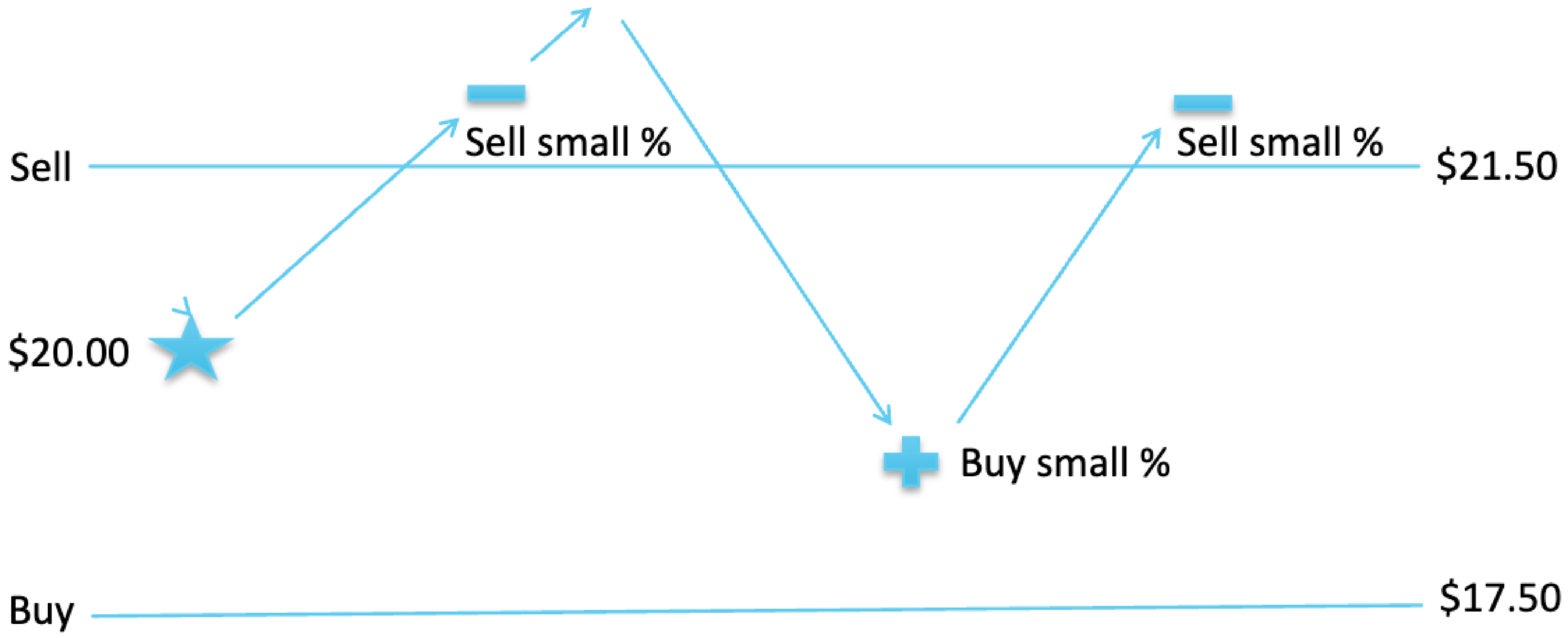
T = 3

Energy



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SignalPoint Strategy Portfolios

Fact Sheets

- **Signal 10 Strategy Portfolio (**click to view Q4 fact sheet**)**
- **Balanced Point Strategy Portfolio** (click to view Q4 fact sheet)

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SignalPoint Asset Management, LLC ("SignalPoint") is an SEC registered investment adviser. SignalPoint claims compliance with the Global Investment Performance Standards (GIPS®). A list of composite descriptions as well as additional information regarding policies for valuing portfolios, calculation of performance and preparing compliant presentations are available upon request.

Valuations are computed and stated in US dollar. Performance shown represents total returns that include income, realized and unrealized gains and losses. Gross of Fee returns are presented before the deduction of management fees. The composite includes bundled fee portfolios that pay a fee based on a percentage of assets under management. This fee includes investment management, trading costs, portfolio monitoring, consulting services, and custody services. Gross returns for bundled fee portfolios are shown before the deduction of the entire bundled fee and are shown as supplemental information. Gross returns for non-bundled fee portfolios have been reduced by transaction costs. Net of Fee performance returns are reduced by actual management fees and bundled fees for applicable portfolios. Past performance is not indicative of future results. Annual advisory fees are calculated as a percentage of assets under management according to the following schedule: 1.50% on the first $500,000 of assets under management; 1.25 % on assets between $500,000 - $2,000,000; 1.00 % on assets between $2,000,000 - $5,000,000; 0.75% on assets over $5,000,000, although fees may be negotiated or waived in certain circumstances. Internal dispersion is calculated using the asset-weighted standard deviation of all portfolios that were included in the composite for an entire year, net of fees. The 3-Year Standard Deviation represents the annualized standard deviation of actual composite and benchmark returns, using the rolling 36-months ended each year-end.

SignalPoint does not make any representation that the benchmark will or is likely to achieve returns similar to those shown in the performance results contained herein. Moreover the performance of a specific client account may vary substantially from the benchmark results contained herein based on the percentage of the client's account allocated to one or more composite portfolios. Different types of investments involve varying degrees of risk and there can be no assurance that any specific investment will be profitable.

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